

Report Type:	Candidate
Year (Annual Report only):	
Date of Appointment/Termination:	

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UNITED STATES OFFICE OF
GOVERNMENT ETHICS
★
Preventing Conflicts of Interest
in the Executive Branch

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Last Name	First Name	MI	Position	Agency
Yang	Andrew		Candidate for President	

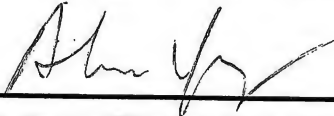
Other Federal Government Positions Held During the Preceding 12 Months:

None

Name of Congressional Committee Considering Nomination (Nominees only):

Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

Signature:



Date:

5/14/19

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Signature:



Date:

5/15/2019

Other Review Conducted By:

Signature:



Date:

5/15/2019

U.S. Office of Government Ethics Certification (if required):

Signature:

Date:

2019 MAY 15 AM 9:58
OFFICE OF
FEDERAL COUNSEL

Comments of Reviewing Officials:

**Reviewed for Apparent Compliance
with the Federal Election Campaign Act**

Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name					Page Number	
Andrew Yang					2	
Part 1: Filer's Positions Held Outside United States Government						
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	Venture for America, Inc.	New York, NY	Non-profit Charity	CEO / Director	03/2010	10/2017
2.	Abundant Thinking, LLC	New Paltz, NY	LLC	Single Member	07/2017	Present
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Instructions for Part 2 -

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name	Page Number
Andrew Yang	3

Part 2: Filer's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
1.	residential real estate Location: New Paltz, NY	N/A	\$500,001 - \$1,000,000	Rent	\$10,000
2.	Waxman Leavell Literary Agency LLC "The War On Normal People" (Value not readily ascertainable)	N/A		Royalties	\$28,950
3.	Vanguard Value Index Fund ETF (VTV) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
4.	iShares Core US Aggregate Bond ETF (AGG) - IRA (Income not readily ascertainable)	Yes	None (or less than \$1,001)		
5.	Vanguard Total Stock Market Index ETF (VTI) - IRA (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
6.	Vanguard Mid-Cap Value ETF (VOE) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
7.	Vanguard Total International Bond Index (BNDX) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
8.	Vanguard Short-Term Inflation-Protected Securities ETF (VTIP) - IRA (Income not readily ascertainable)	Yes	None (or less than \$1,001)		
9.	Vanguard Small-Cap Value Index Fund ETF (VBR) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
10.	Vanguard FTSE Developed Markets Index ETF (VEA) - IRA (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
11.	Vanguard FTSE Emerging Markets Index ETF (VWO) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
12.	iShares JP Morgan USD Emerging Markets Bond ETF (EMB) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
13.	Vanguard Total Bond Market Index ETF (BND) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
14.	TIAA Bank Retirement Acct - IRA	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
15.	Federated Institutional High Yield Bond (FIHBX) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
16.	Fidelity Advisor New Markets Income Fund Class I (FGZMX) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
17.	TIAA-CREF Large Cap Value Index (TILVX) - IRA (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
18.	TIAA-CREF Large Cap Growth Index (TILIX) - IRA (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
19.	TIAA-CREF Bond Index Institutional (TBII) - IRA (Income not readily ascertainable)	Yes	\$100,001 - \$250,000		
20.	iShares TR Russell Mid-Cap Value ETF ((WS) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		

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Filer's Name					Page Number
Andrew Yang					4
Part 2: Filer's Employment Assets & Income and Retirement Accounts					
#	Description	EIF	Value	Income Type	Income Amount
1.	iShares TR Russell Mid-Cap Growth ETF (IWP) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
2.	iShares TR Russell 2000 Value ETF (IWN) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
3.	iShares TR Russell 2000 Growth ETF (IWO) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
4.	iShares TR MSCI EAFE Small Cap ETF (SCZ) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
5.	iShares TR Core MSCI EAFE ETF (IEFA) - IRA (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
6.	iShares INC CORE MSCI Emerging Mkts (IEMG) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
7.	SPDR Portfolio Short Term Corporate BD ETF (SPSB) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
8.	E-Trade Bank - IRA	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
9.	Proshares Trust Ultrashort (QID) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
10.	Seafarer Exploration Corp (SFRX) - IRA (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
11.	JPMorgan Chase Date: 04-20-2018	N/A		Speaking Engagement	\$10,000
12.	JPMorgan Chase Date: 06-21-2018	N/A		Speaking Engagement	\$10,000
13.	CLSA, Ltd. Date: 09-10-2018	N/A		Speaking Engagement	\$30,000
14.	JPMorgan Chase Date: 09-13-2018	N/A		Speaking Engagement	\$10,000
15.	Formosan Association for Public Affairs Date: 09-15-2018	N/A		Speaking Engagement	\$1,000
16.	Utah Valley University Date: 10-23-2018	N/A		Speaking Engagement	\$500
17.	JPMorgan Chase Date: 11-02-2018	N/A		Speaking Engagement	\$10,000
18.	UDR, Inc. Date: 11-15-2018	N/A		Speaking Engagement	\$10,000
19.	JPMorgan Chase Date: 11-30-2018	N/A		Speaking Engagement	\$10,000
20.	Phillips Exeter Academy Date: 02-08-2019	N/A		Speaking Engagement	\$2,500

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Filer's Name	Page Number
Andrew Yang	5

Part 2: Filer's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income Type	Income Amount
1.	Abundant Thinking, LLC	N/A	None (or less than \$1,001)	Royalties	\$0
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Instructions for Part 3

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name			Page Number	
Andrew Yang			6	
Part 3: Filer's Employment Agreements and Arrangements				
#	Employer or Party	City/State	Status and Terms	Date
1.	None			
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Instructions for Part 4

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name	Page Number
Andrew Yang	7

Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	Source Name	City/State	Brief Description of Duties
1.	N/A		
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Instructions for Part 5

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name					Page Number
Andrew Yang					8
Part 5: Spouse's Employment Assets & Income and Retirement Accounts					
#	Description	EIF	Value	Income Type	Income Amount
1.	T Rowe Price Retire Hybrid 2045 - 401k (Income not readily ascertainable)	Yes	\$50,001 - \$100,000		
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Instructions for Part 6

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name Andrew Yang					Page Number 9
Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
1.	Lending Club	No	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
2.	TD Ameritrade Bank NA	No	None (or less than \$1,001)	Interest	None (or less than \$201)
3.	Advisorshares Trust (HDGE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.	Alphabet Inc (GOOGL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.	Direxion Daily FTSE China ETF (YANG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.	Direxion Daily MSCI Emerg ETF (EDZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.	ProShares Ultshrt FT Ch ETF (FXP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.	Proshares Ultshrt ETF (QID)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.	Proshares Short S&P 500 ETF (SH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.	Hustle Fund I, LP	N/A	\$15,001 - \$50,000	Interest, Capital Gains	None (or less than \$201)
11.	Prophase Holdings Subsidiary, LLC	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
12.	NY529 Moderate Age Based Growth (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
13.	NY529 Growth Stock Index (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
14.	NY529 Value Stock Index (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
15.	NY529 Inflation Protected Securities (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
16.	NY529 Income Portfolio (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
17.	NY529 Aggressive Age Based Growth (Income not readily ascertainable)	Yes	\$15,001 - \$50,000		
18.	NY529 Moderate Age Based Aggressive (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
19.	E-Trade Bank	No	None (or less than \$1,001)	Interest	None (or less than \$201)
20.	Proshares Trust Ultrashort (QID) (Income not readily ascertainable)	Yes	None (or less than \$1,001)		

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Filer's Name	Page Number
Andrew Yang	10

Part 6: Other Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1.	Proshares Trust Ultrashort S&P 500(SDS) (Income not readily ascertainable)	Yes	\$1,001 - \$15,000		
2.	MMF Systems, Inc.	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.	Citibank	No	\$15,001 - \$50,000	Interest	None (or less than \$201)
4.	Bernstein Diversified Municipal Portfolio	Yes	None (or less than \$1,001)		\$201 - \$1,000
5.	iShares TR MSCI EAFE ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
6.	iShares TR Russell 1000 Value ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
7.	iShares TR Russell 1000 Growth ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
8.	Nuveen New York Municipal Bond Fund Class I	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.	Nuveen High Yield Municipal Bond Fund Class I	Yes	None (or less than \$1,001)		\$201 - \$1,000
10.	Thornburg Intermed Municipal National	Yes	None (or less than \$1,001)		\$201 - \$1,000
11.	Wells Fargo Ultra Short Term Muni CL I	Yes	None (or less than \$1,001)		\$201 - \$1,000
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Instructions for Part 7

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Filer's Name	Page Number
Andrew Yang	11

Part 7: Transactions

#	Description	Type	Date	Amount
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Instructions for Part 8

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name						Page Number
Andrew Yang						12
Part 8: Liabilities						
#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
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Instructions for Part 9

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name	Page Number
Andrew Yang	13

Part 9: Gifts and Travel Reimbursements

#	Source Name	City/State	Brief Description	Value
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